

August 16, 2018

Over-The-Counter Market Restrictions

Effective September 24, York Securities' clearing agent, Pershing, will no longer accept orders in foreign ordinary shares trading in the U.S. Over-The-Counter (OTC) Market in U.S. dollars (USD) if the country of settlement is one of the restricted markets listed below. Typically these securities have ticker symbols that are five letters in length, with the last letter being 'F'.

*For more information,
please contact us.*

Restricted Markets

The countries are:

Argentina	Iceland	Russia
Bangladesh	India	Serbia
Bermuda	Indonesia	Slovak Republic
Brazil	Latvia	Slovenia
Bulgaria	Lithuania	South Korea
Chile	Malaysia	Spain
Columbia	Mauritius	Sri Lanka
Costa Rica	Morocco	Taiwan
Croatia	Pakistan	Turkey
Cyprus	Peru	United Arab Emirates
Egypt	Philippines	Uruguay
Estonia	Puerto Rico	Venezuela
Greece	Romania	Zambia