

\_\_\_\_\_, \_\_\_\_\_  
(enter last name)

(enter first name(s))

(enter account number)

## RE: Third Party/Charitable Donation Transfer Request

Dear Trading Direct / Axos Clearing LLC,

Please process the following security transfer(s). I(We) understand, that I(we) are giving up 100% ownership. Detailed instructions are below:

_____ (Enter Quantity)	_____ (enter Symbol or CUSIP)	_____ (input Security Name/Description)
_____ (Enter Quantity)	_____ (enter Symbol or CUSIP)	_____ (input Security Name/Description)
_____ (Enter Quantity)	_____ (enter Symbol or CUSIP)	_____ (input Security Name/Description)
_____ (Enter Quantity)	_____ (enter Symbol or CUSIP)	_____ (input Security Name/Description)

Deliver From:	Deliver To:
_____ (enter Trading Direct account number)	_____ (enter receiving broker firm name)
_____ (enter Trading Direct account title)	_____ (enter receiving broker 4-digit DTC number)
_____ (enter home address)	_____ (enter receiving broker account title)
_____ (enter home address)	_____ (enter receiving broker account number)
_____ (enter home address)	_____ (enter receiving brokerage account Tax ID number)

X \_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

X \_\_\_\_\_  
(Joint Signature, if applicable)

\_\_\_\_\_  
(Date)

Notary Signature: \_\_\_\_\_

Notary Seal:

Sworn to before me this

\_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_

My Commission Expires \_\_\_\_\_