

TRADING DIRECT, YORK SECURITIES, INC.

160 Broadway, East Bldg Floor 7, New York, NY 10038

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212-202-4655

REQUEST FOR DISPOSITION OF A SECURITY WITH INSIGNIFICANT VALUE

**** PLEASE NOTE- THERE IS A \$20 FEE PER SECURITY****

FORM A – PROCESS AS A JOURNAL

I. ACCOUNT INFORMATION

Account Number: _____

Account Title: _____

II. SECURITY INFORMATION

Description: _____

CUSIP Number: _____

Quantity: _____

I am currently the owner of the above security. I am requesting the above position be removed from my account. I understand that this position may have no value or that the value is less than the cost of transacting a trade.

I confirm that the removal of position from my account is final and irrevocable, and I am forgoing the possibility of gain in the event this security ever has any future value. I hereby waive any future claim I have concerning these securities.

AXOS Clearing LLC makes no representation about the value, if any, of this security.

Account Holder(s) Signature: _____ Date: _____

Account Holder(s) Signature: _____ Date: _____

Correspondent Signature: _____ Date: _____

You may use this letter for your income taxes if it is appropriate for you to do so. Please contact your tax advisor with any questions if using this letter for income tax purposes.

Mail completed form(s) to: Trading Direct, 160 Broadway, Floor 7E, New York NY 10038.