

**(UCSO) CERTIFICATE  
OF SOLE OFFICER**

ACCOUNT NUMBER \_\_\_\_\_

I, \_\_\_\_\_, hereby certify that I am the sole officer of  
\_\_\_\_\_, a corporation duly organized  
under the laws of the State of \_\_\_\_\_, that the laws of the State of  
\_\_\_\_\_ expressly permit the same person to hold the offices of both President and  
Secretary of a corporation and that I presently hold both such offices of the corporation named above.

IN WITNESS WHEREOF, I have executed this Certificate this \_\_\_\_\_ day of \_\_\_\_\_,  
20\_\_\_\_, understanding that (the above-named or your) broker dealer will rely on this Certificate in accepting  
documents executed by me in such dual capacities.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print name