

**Request for Disposition of a  
Non Transferable Security**

**1. Account Information**

Account Title: \_\_\_\_\_

\_\_\_\_\_

Account Number: \_\_\_\_\_

**2. Security Information**

I am currently the owner of the following security, which is on deposit with Apex Clearing Corporation:

Quantity: \_\_\_\_\_

Description: \_\_\_\_\_

Symbol/Cusip Number: \_\_\_\_\_

Because there is currently no Transfer Agent or Company contact, the shares cannot be registered in my name and therefore I would like to relinquish my shares. Please remove the shares from my account by closing out the position via journal entry as Non Transferable. If the corporation re-opens its transfer books and DTCC reinstates the position, the shares will be reinstated in my account.

In consideration for your complying with the above request, we agree, for ourselves, our successors, assignors, heirs, executors, and administrators, to at all times to indemnify and hold harmless Apex Clearing Corporation against any and all claims, liabilities, damages, charges and expenses sustained or incurred by reason of your actions.

**Authorization and Signature**

Account Owner Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Joint Owners Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Address: \_\_\_\_\_

City and State: \_\_\_\_\_